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APPLICATION NUMBER

FINING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/723,174

11/26/2003

Katrin Kneipp

M0925.70114US01/TJO

CONFIRMATION NO. 5755

Timothy J. Oyer, Ph.D. Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, MA 02210

FORMALITIES LETTER *OC000000012093614*

Date Mailed: 03/12/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/16/2004 MAHNED1 00000010 10723174

02 FC:2201

385.00 DP 344.00 DP: 936.00 OP 65.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 12-16.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$2560 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$3460 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$2560
 - \$688 for 8 independent claims over 3.
 - \$1872 for 104 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

M-HAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DOCKET NO.: M0925.70114US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Katrin Kneipp

Serial No.:

10/723,174

Confirmation No.:

5755

Filed:

November 26, 2003

For:

SINGLE MOLECULE DETECTION WITH SURFACE-ENHANCED

RAMAN SCATTERING AND APPLICATIONS IN DNA AND RNA

SEQUENCING

Examiner:

Not Yet Assigned

Art Unit:

1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the day of September, 2004.

Maureen Joyce

MAIL STOP Missing Parts

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Part 2 Notice to File Missing Parts
- Fee Calculation Sheet
- Request for Approval and Entry of Formal Drawings
- Petition for 4 Month Extension of Time
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

Serial No.: 10/723,174 Conf. No.: 5755

Docket No.: M0925.70114US01

Page 2 of 2

A check in the amount of \$2,470.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

Timothy J. Oyer, Ph.D. Reg. No.: 36,628 Tani Chen, Sc.D., Reg. No.: 52,728

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Date: September 13, 2004

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DOCKET NO.: M0925.70114US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Katrin Kenipp et al.

Serial No.:

10/723,174

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Maureen Joyce

Mail Stop Missing Parts

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR APPROVAL AND ENTRY OF FORMAL DRAWINGS

Sir:

Enclosed for filing are fourteen (14) sheets of FORMAL DRAWINGS, Figures 1 through 16B, for the above-referenced patent application.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 23/2825.

Respectfully submitted,

Timothy J. Over, Ph.D., Reg. No. 36,628

Tani Chen, S.D., Reg. No. 52,728 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Date: September(3, 2004

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